

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED ELECTED OFFICE (DO EO US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

146154.00056

**09/913573**  
NEW US National Stage Patent Application

INTERNATIONAL APPLICATION NO.  
PCT/EP00/02875

INTERNATIONAL FILING DATE  
March 31, 2000

PRIORITY DATE CLAIMED  
April 20, 1999

**TITLE OF INVENTION**

BIAXIALLY ORIENTED FILM FOR THE PRODUCTION OF CERAMIC CAPACITORS

**APPLICANT(S) FOR DO EO US**

Karl-Heinz KÖCHEM, Kerstin MÜLLER-NAGEL and Robert SCHMIDT

Applicant herewith submits to the United States Designated Elected Office (DO EO US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(c)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The U.S. has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ Is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ Has been communicated by the International Bureau.
  - c. ☐ Is not required, as the application was filed in the United States Receiving Office (RO US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ Is attached hereto.
  - b. ☒ Has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ Are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ Have been communicated by the International Bureau.
  - c. ☐ Have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ Have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(1).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(1).
20. ☒ Other items or information: Request for Preliminary International Examination Report in German and PCT Application in German (documents in German).  
 21. ☐ A copy of the International Preliminary Examination Report in German and PCT Application in German (documents in German).

09/91/00/3

PCT EP0002875

146154 00056

21 ☐ The following fees are submitted:  
**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1)-(5)):**  
Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$1000.00  
International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00  
International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00  
International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00  
International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**CALCULATIONS - PLEASE ONLY**

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	8 - 20	0	x \$18.00
Independent claims	2 - 3	0	x \$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			- \$270.00

\$

**TOTAL OF ABOVE CALCULATIONS**

\$ 860.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above  
are reduced by 1/2.

\$

**SUBTOTAL**

\$ 860.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE**

\$ 860.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.

\$

**TOTAL FEES ENCLOSED**

\$ 860.00

Amount to be  
refunded: \$  
charged: \$

- a. ☒ A check in the amount of \$ 860.00 to cover the above fees is enclosed
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 501682. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card  
information should not be included on this form. Provide credit card information and authorization on PTO-2038

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SIGNATURE OF REPRESENTATIVE

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